

13281
U.S. PTO

052104

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UTILITY
PATENT APPLICATION
TRANSMITTAL
(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No.
213202.00506

First Named Inventor or Application Identifier
IAN M. PENN, ET AL.

Express Mail Label No.

2387 U.S. PTO
10/849990

052104

APPLICATION ELEMENTS
See M.P.E.P. chapter 600 concerning utility patent application contents.

ADDRESS TO:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)

☐ Applicant claims small entity status.
See 37 C.F.R. § 1.27.

☒ Specification
Total Pages

☒ Drawing(s) (35 U.S.C. §113)
Total Sheets

☒ Oath or Declaration
Total Sheets

☐ Newly executed (original or copy)

☒ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 17 completed)

☐ DELETION OF INVENTOR(S)
Signed Statement attached deleting inventor(s)
named in the prior application, see 37 C.F.R.
§§ 1.63(d)(2) and 1.33(b).

☒ Application Data Sheet. See 37 C.F.R. § 1.76

☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

☐ Computer Readable Form (C.R.F.)

☐ Specification Sequence Listing on:

☐ CD-ROM or CD-R (2 copies); or

☐ paper

☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

☐ Assignment Papers (cover sheet & document(s))

☐ 37 C.F.R. § 3.73(b) Statement
(when there is an assignee)

☒ Power of Attorney

☐ English Translation Document (if applicable)

☒ Information Disclosure Statement (IDS)/PTO-1449

☒ Copies of IDS Citations

☒ Preliminary Amendment

☒ Return Receipt Postcard (M.P.E.P. § 503)
(Should be specifically itemized)

☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)

☒ Change of Correspondence

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:
☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. 09/672,768 (See Appln. Data Sheet)
Prior application information : Examiner: W. Matthews Group/Art Unit: 3738
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

27160
(Insert Customer No. or Attach bar code label here)

or ☐ Correspondence address below

NAME

Address

City

State

Zip Code

Country

Telephone

Fax

Page 1 of 4

Doc #:WAS01 (213202-00001) 41545596v1;05/21/2004/Time:12:18



CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS			
	TOTAL CLAIMS (37 C.F.R. § 1.16(c))	1-20 =	0	X \$ 18.00 =	\$ 0.00			
	INDEPENDENT CLAIMS (37 C.F.R. § 1.16(b))	1-3 =	0	X \$ 86.00 =	\$ 0.00			
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 C.F.R. §1.16(d))			\$290.00 =	\$ 0.00			
				BASIC FEE (37 C.F.R. § 1.16(a))	\$ 770.00			
Total of above Calculations =					\$ 770.00			
Reduction by 50% for filing by small entity (Note 37 C.F.R. §§ 1.9, 1.27, 1.28).					\$ 0.00			
TOTAL =					\$ 770.00			

19. Small entity status

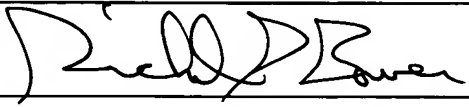
- a. ☐ A small entity statement is enclosed
- b. ☐ A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.
- c. ☐ Is no longer claimed.

20. ☒ Please charge the amount of \$ 770.00 to Deposit Account No. 50-1710 to cover the filing fee. A duplicate copy of this paper is attached.

21. ☐ Please charge the amount of \$ _____ to Deposit Account No. 50-1710 to cover the recordal fee.

22. The Commissioner is hereby authorized to charge the above fees, credit overpayments or charge any deficiencies to Deposit Account No. 50-1710:

- a. ☒ Fees required under 37 C.F.R. § 1.16.
- b. ☒ Fees required under 37 C.F.R. § 1.17.
- c. ☐ Fees required under 37 C.F.R. § 1.18

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED	
NAME	Richard P. Bauer, Registration No. 31,588
SIGNATURE	
DATE	May 21, 2004